



UNITED STATES DEPARTMENT OF COMMERCE
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TC 2700 MAIL ROOM

JUN 14 2000

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[Handwritten signature]

Date: 6-13-00

To: Technology Center 2761

From: Dora Stroud, Supervisor
OIPE Customer Service
Charge Location 0350

Subject: Customer Requests

Serial Number: 09/410818

File Location: TC-2700

The attached request for a corrected file receipt has been completed. Please forward the case to OIPE, Customer Service, CP2-6th Floor.

If you decide to keep the case, assign the request a paper number, endorse contents, punch holes in the documents, and replace the old Bib Data sheet with the updated version in the center of the file.

Your cooperation is greatly appreciated.

FILE CC

SERIAL NUMBER 09/410,818	FILING DATE 10/01/99	CLASS 705	GROUP ART UNIT 2761	ATTORNEY DOCKET NO. WD2-99-034
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APPLICANT JAY S. WALKER, RIDGEFIELD, CT; JAMES A. JORASCH, STAMFORD, CT; MAGDALENA MIK, GREENWICH, CT; KATHLEEN VAN LUCHENE, NORWALK, CT; JOHN M. PACKES, JR., HAWTHORNE, NY.

****CONTINUING DOMESTIC DATA*******
 VERIFIED
None AG

****371 (NAT'L STAGE) DATA*******
 VERIFIED
None AG

****FOREIGN APPLICATIONS*******
 VERIFIED
None AG

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/27/99

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY CT	SHEETS DRAWING 19	TOTAL CLAIMS 67	INDEPENDENT CLAIMS 11
Verified and Acknowledged	<u>Orth</u> Examiner's Initials	<u>AG</u> Initials				

ADDRESS DEAN ALDERUCCI
 WALKER DIGITAL CORPORATION
 ONE HIGH RIDGE PARK
 STAMFORD CT 06905-1326

TITLE SYSTEMS AND METHODS WHEREIN PAY IS PROVIDED TO A HOMEOWNER IN EXCHANGE FOR ALLOWING INFORMATION ABOUT A HOME TO BE TRANSMITTED

FILING FEE RECEIVED \$1,115	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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 JUN 14 2000
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FILE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al.)

For: SYSTEMS AND METHODS WHEREIN)
PAYMENT IS PROVIDED TO A HOME)
OWNER IN EXCHANGE FOR)
ALLOWING INFORMATION ABOUT)
A HOME TO BE TRANSMITTED)

Examiner: Not Assigned)

Serial No.: 09/410,818)

Group Art Unit: 2761)

Filing Date: October 1, 1999)

Docket No.: WD2-99-034)

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Application Processing Division's Customer
Correction Branch

TC 2700 MAIL ROOM

JUN 14 2000

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TRANSMITTAL LETTER

Applicants hereby submit the following documents for the above-identified patent application:

1. Request for Corrected Filing Receipt;
2. Copy of Application Transmittal Letter;
3. Red-lined Copy of Official Filing Receipt; and
4. Postcard.

Respectfully submitted,

Dean Alderucci
Attorney for Applicants
PTO Reg. No. 40,484
Walker Digital Corporation
One High Ridge Park
Stamford, CT 06905

November 10, 1999

Date

Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 Attn: Application Processing Division's Customer Correction Branch on November 10, 1999.

Sylvia Gonzalez

Typed Name of Person Making
Deposit

Signature

11/10/99

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al.

For: SYSTEMS AND METHODS
WHEREIN PAYMENT IS PROVIDED
TO A HOME OWNER IN EXCHANGE
FOR ALLOWING INFORMATION
ABOUT A HOME TO BE
TRANSMITTED

Serial No.: 09/410,818

Filing Date: October 1, 1999



Examiner: Not Assigned

Group Art Unit: 2761

Docket No.: WD2-99-034

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JUN 14 2000

RECEIVED

Assistant Commissioner for Patents

Washington, D.C. 20231

Attn: Application Processing Division's Customer Correction Branch

REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected as follows:

- 1) That the inventive entities be corrected to reflect the address of the fifth inventor as JOHN M. PACKES JR., HAWTHORNE, NY.
- 2) The continuing data be deleted.

Each of these requested corrections has been indicated in red on an enclosed copy of the official Filing Receipt.

In support of this request, applicants have enclosed a copy of the patent application transmittal letter filed with the original application on October 1, 1999, which

clearly indicates the correct data requested above. Please issue a corrected Filing Receipt in due course.

November 10, 1999
Date



Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Dean Alderucci", written over a horizontal line.

Dean Alderucci
Attorney for Applicants
PTO Reg. No. 40,484
Walker Digital Corporation
One High Ridge Park
Stamford, CT 06905
(203) 905-6666
(203) 595-8266 (fax)

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JUN 14 2000
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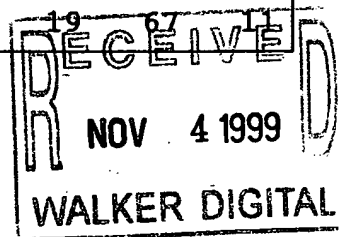
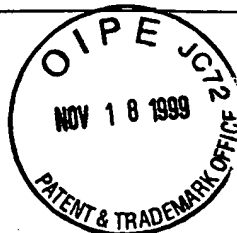
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/410,818	10/01/99	2761	\$1,115.00	WD2-99-034			

DEAN ALDERUCCI
WALKER DIGITAL CORPORATION
ONE HIGH RIDGE PARK
STAMFORD CT 06905-1326



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JAY S. WALKER, RIDGEFIELD, CT; JAMES A. JORASCH, STAMFORD, CT; MAGDALENA MIK, GREENWICH, CT; KATHLEEN VAN LUCHENE, NORWALK, CT; JOHN M. PACKS JR., HAWTHORNE, NY.

~~CONTINUING DATA AS CLAIMED BY APPLICANT~~

~~THIS APPLN IS A CON OF 09/285,472 04/02/99~~

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/27/99
TITLE

SYSTEMS AND METHODS WHEREIN PAY IS PROVIDED TO A HOMEOWNER IN EXCHANGE FOR ALLOWING INFORMATION ABOUT A HOME TO BE TRANSMITTED

PRELIMINARY CLASS: 705

File No:	99-034
Attorney:	SA
Due Date:	11/14/99
Docketed:	11/8/99

DATA ENTRY BY: BLIZZARD, SHARON .L TEAM: 01 DATE: 10/27/99

(See reverse for new important information)

UTILITY PATENT APPLICATION TRANSMITTAL

(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
WD2-99-034

Total Pages in this Submission



TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SYSTEMS AND METHODS WHEREIN PAY IS PROVIDED TO A HOMEOWNER IN EXCHANGE FOR ALLOWING INFORMATION ABOUT A HOME TO BE TRANSMITTED

and invented by:

Jay S. WALKER, James A. JORASCH, Magdalena MIK, Kathleen VAN LUCHENE, John M. PACKES Jr.

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 35 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL

(Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

WD2-99-034

Total Pages in this Submission

Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal b. ☐ Informal Number of Sheets 19
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & documents)
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL420982184US

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
WD2-99-034

Total Pages in this Submission

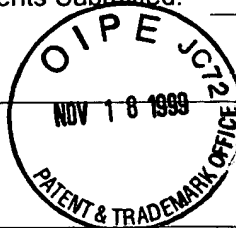
Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Small Entity Statement(s) - Specify Number of Statements Submitted: 1

17. ☒ Additional Enclosures (please identify below):

return postcard



Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	67	- 20 =	47	x \$9.00	\$423.00
Indep. Claims	11	- 3 =	8	x \$39.00	\$312.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$380.00
OTHER FEE (specify purpose) <u>Assignment Fee</u>					\$40.00
TOTAL FILING FEE					\$1,155.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,155.00 as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: October 1, 1999.

Signature

Dean Alderucci
PTO Reg. No. 40,484
Attorney for Applicant
Walker Digital Corporation
One High Ridge Park
Satmford, Connecticut 06905-1326
(203) 905-6666 phone
(203) 595-8266 fax

CC: